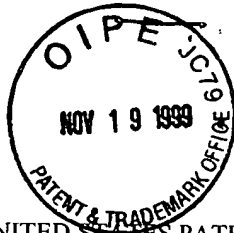


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11/03/99



PATENT APPLICATION
DOCKET NO.: KPN97-04A5

SP2774

#5

12-9-99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern, Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale, Jason Lo, Stephen A. Pombo, and Rodney Bumgardner

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NOV 21 1999

Group 2700

Application No.: 09/309,155

Group: 2774

Filed: May 10, 1999

Examiner: Not Assigned

For: PORTABLE MICRODISPLAY SYSTEM

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>11-16-99</u>	<u>Anne Barnes</u>
Date	Signature
<u>Anne Barnes</u>	
Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)

☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97(c) together with either:
☐ a Statement under 37 CFR 1.97(e), as checked below, or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:
☐ a Statement under 37 CFR 1.97(e), as checked below, and
☐ a Petition under 37 CFR 1.97(d)(2), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☐ Applicant requests that the attached IDS and cited reference(s) [] be placed in the application filewrapper.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement for references AM2, AM5, AO6, AL7-AM7, AO7, AQ7, AL8-AQ8, AL9-AQ9, AL10-AQ10, AL11-AQ11, AL12-AN12, AQ12, AL13 under 37 CFR 1.98(a)(3) is satisfied by the enclosed English language abstracts.
- ☒ The "concise explanation" requirement for references AN2, AN3 and AO3 under 37 CFR 1.98(a)(3) is satisfied by the following explanation:
- AN2 (EP 526 802 A2) claims priority to the same German patent Application (DE4126105) as AJ6 (U.S. Patent No. 5,485,504).
- AN3 (EP 626 773 A1) and AO3 (EP 626 773 B1) are from European Patent Application to which AQ6 (Canada 2,123,461) claims priority.
- These related applications and patents are likely to be English Language versions for AN2, AN3, and AO3.
- It is requested that the information disclosed herein be made of record in this application.

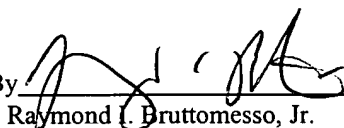
Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By


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Dated: 16 November 1999